



Innovative Medicines Initiative

# IMI Financial and IP rules

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# Participation and Funding Rules



# Stage 1: Who can participate?

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- **At least two independent legal entities eligible for funding carrying out work relevant to the IMI in a Member State or Associated Country**
- **Anyone else with the agreement of the IMI JU**

**Then, not all participating entities are eligible for funding**



# Who is eligible for IMI funding?

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- Academic institutions
- Non-profit research organizations
- Small & medium-size enterprises
- Non-profit patient organizations
- Non-profit public bodies and intergovernmental organizations including specialized agencies

carrying out activities in a Member State or a country associated to FP7



# Which activities at which rate?

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- **Research activities**
  - up to 75% of total eligible costs
- **Other activities -> management, training, communication, ...**
  - up to 100% of total eligible costs

**No impact for beneficiaries changing their status**



# Main categories of eligible costs

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- **Personnel**
- **Other direct costs**  
**(Equipment, Consumables, Travel...)**
- **Subcontracting**
- **Overheads**



# Eligible vs. Ineligible costs

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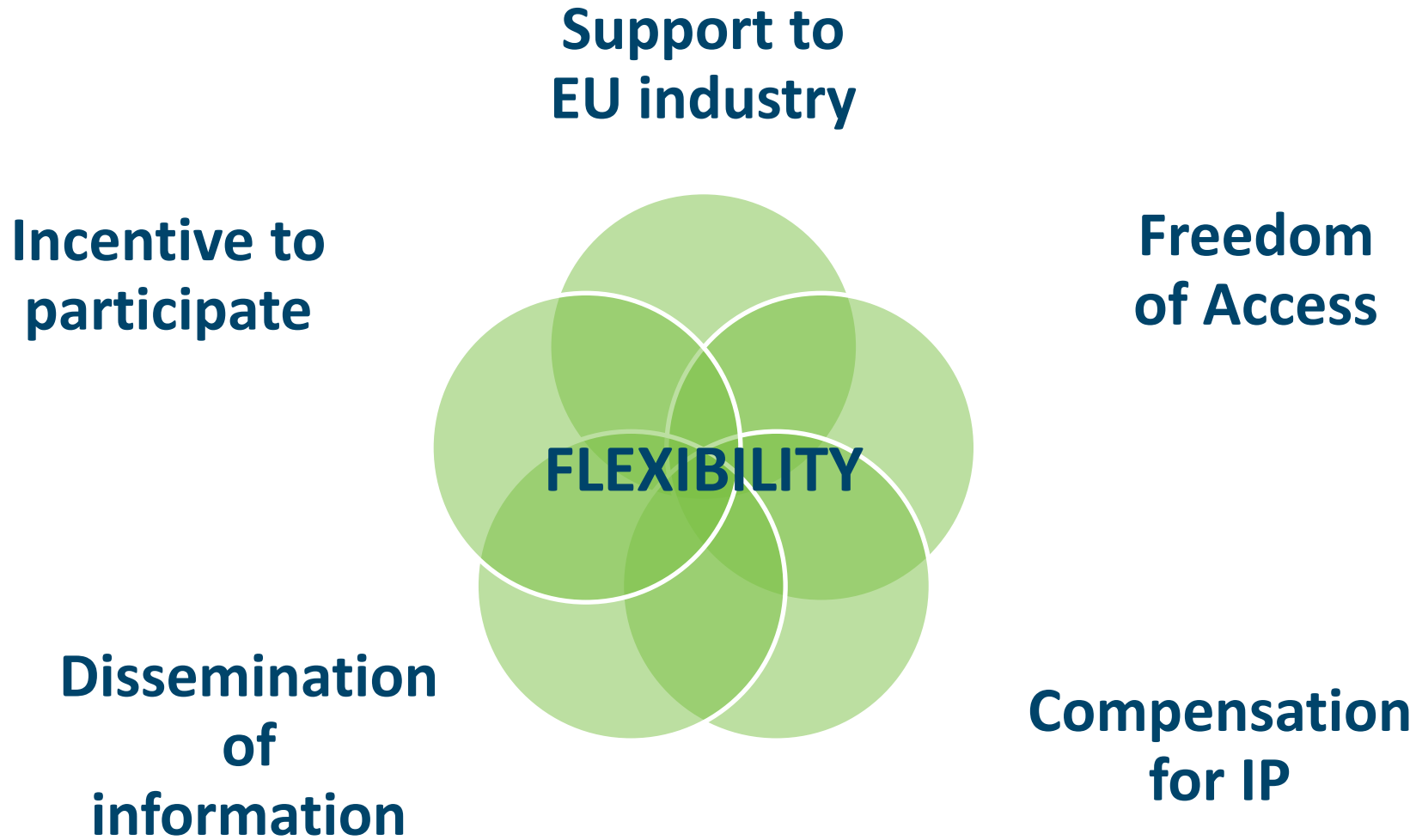
- **Actual**
- Incurred by the participant during the project
- **Determined according to usual accounting and management principles and practices**
- Recorded in the accounts of the participant
- **Incurred for work carried out in a Member State or associated country**
- Incurred for the sole purpose of achieving the project objectives related to an activity accordingly described
- **Identifiable indirect taxes including value added tax**
- Duties
- Interest owed
- Provisions for possible future losses or charges
- Exchange losses, cost related to return on capital
- Costs declared or incurred, or reimbursed in respect of another Union project
- Debt and debt service charges, excessive or reckless expenditure



# Intellectual Property Policy







# Project Agreement

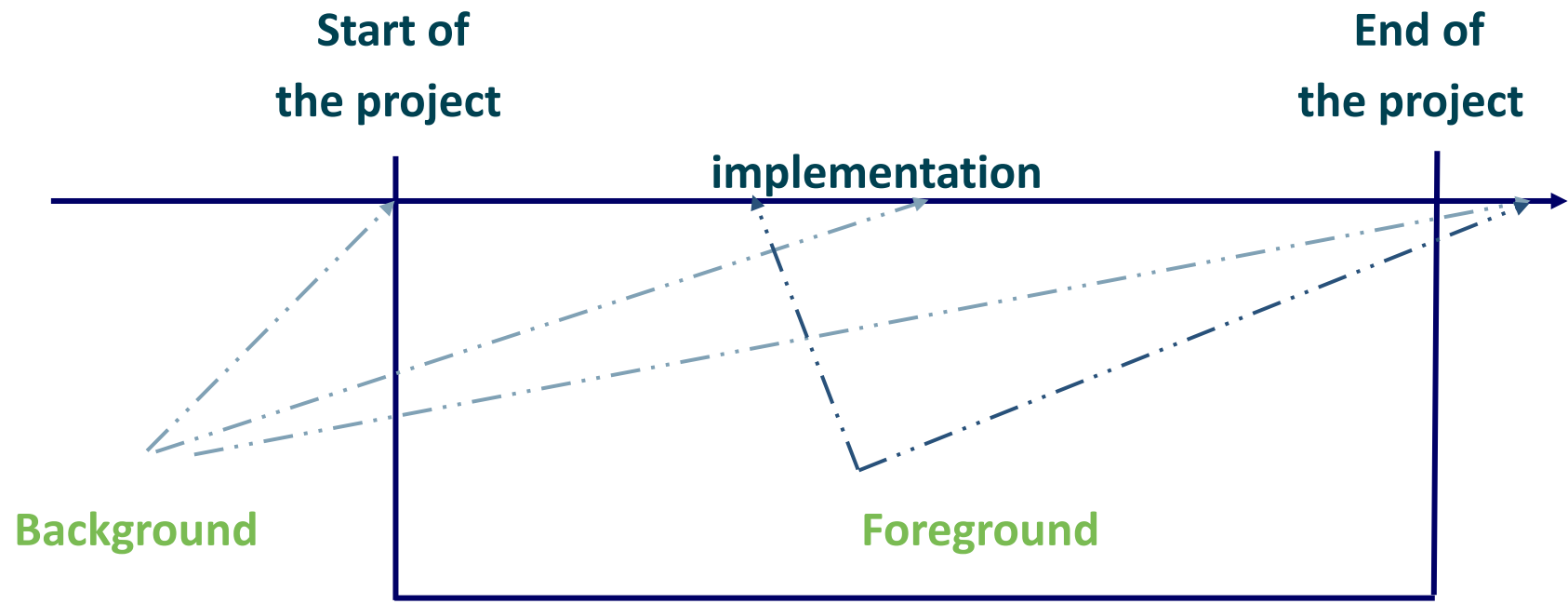
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- Contractual arrangement **between all participants** to set out their rights and obligations, especially governance, liability and IPR
- Shall comply with the IMI model Grant Agreement
- Mandatory before the signature of the grant agreement with the Executive Office
- **To be adapted to the specific needs of each IMI project!**



# Background vs. Foreground



**// Sideground //**

(generated during the Project but outside the Project Objectives and not needed for implementation or Research Use)



..... possible access rights

- **Background remains the exclusive property of each participant**
- **Foreground are owned by the generator(s), unless otherwise agreed**
- **Protection lies with the owner(s)**
- **Mandatory mention of IMI support / EFPIA in-kind contribution in any dissemination support / patent application**



- **Non-exclusive access rights, granted on written request unless otherwise agreed**
- **No sub-licences, unless otherwise agreed**
- **Not affected by the termination of participation**
- **Conditions to be agreed depending on the purpose:**
  - Project completion
  - Research Use -> further developments
  - Exploitation -> commercialisation



# More information



# Useful documents

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- **Rules for Participation** (part of the Call Documents)
- **IMI model Grant Agreement** (March 2013)
- **IMI Financial Guidelines** (June 2013)
- **IMI Reporting Templates and Guidelines** (July 2013)
- **IP Guidance Note** (November 2010)

[www.imi.europa.eu/content/documents](http://www.imi.europa.eu/content/documents)



# Questions?

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- Visit the IMI **website**: [www.imi.europa.eu](http://www.imi.europa.eu)

- **e-mail** us:

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